8-150°

# ARIZONA CORPORATION COMMISSION UTILITIES DIVISION

#### ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

W5-0206 / A
OAK CREEK UTILITY CORPORATION – WATER
P.O. BOX 17357
PHOENIX, AZ 85011

# ANNUAL REPORT

FOR YEAR ENDING

12 31 2000

FOR COMMISSION USE
ANNOY OO

### **COMPANY INFORMATION**

	OAK ODERK HEILITY CODD	OD ATION	
Company Name (Business Name)	the state of the s	JRATION	
Mailing Address Popox (Street) (City)	1)357		
(Street)	195	85011	
(City)	(State)	(2	Zip)
(602) 840-9400	(602) 8410-603U		
Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Pager/Cell No. (	Include Area Code)
Email Address			
ocal Office Mailing Address	Sun		
Local Office Mailing Address	(Street)		
(City)	(State)	(Z	ip)
ocal Office Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Pager/Cell N	No. (Include Area Code)
Local Office Telephone 140. (Mende Area Code)			
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Email Address	A CEMENIT INIECDM ATI	······································	
Email Address	AGEMENT INFORMATI		
Email Address		ION Presido	wt itle)
MAN  Management Contact: 5	W. Gray (Name)	Presido (T	\$50 IV
MAN  Management Contact: 5 (street)	(Name)  Phoense (City)	Pres'ido	itle)  SOI\ (Zip)
MAN  Management Contact: 5 (street)  (Street)  602) 840-9400	(Name)  Phoense (City)  (602) 840-6030	Presido (T (State)	<b>₹</b> 50 l\ (Zip)
MAN  Management Contact: 5 (street)  (Street)  (BUZ) SUU-9400  Telephone No. (Include Area Code)	(Name)  Phoense (City)	Presido (T (State)	\$50 IV
MAN  Management Contact: 5 (street)  (Street)  (BUZ) SUU-9400  Telephone No. (Include Area Code)	(Name)  Phoense (City)  (602) 840-6030	Presido (T (State)	<b>₹</b> 50 l\ (Zip)
MAN  Management Contact: 5 & A  P. O. Dox 17357  (Street)  (Street)  (Street)  (Box 17357)  (Street)  (Str	(Name)  Phoense (City)  (602) 840-6030	Presido (T (State)	<b>₹</b> 50 l\ (Zip)
MAN  Management Contact: 5 & A  P. O. Dox 17357  (Street)  (Street)  (BOZ) SUO-9400  Telephone No. (Include Area Code)  Email Address	(Name)  Phoense (City)  (602) 840-6030	Presido (T (State)	<b>₹</b> 50 l\ (Zip)
MAN  Management Contact: 5 & A  P. O. Dox 17357  (Street)  (Street)  (Street)  Telephone No. (Include Area Code)  Email Address	(Name)  Phachae (City)  (602) 840-6030  Fax No. (Include Area Code)	Presido (T (State)	<b>₹</b> 50 l\ (Zip)
MAN  Management Contact: 5 (street)  (Street)  (Bully Sully 94 (street)  Telephone No. (Include Area Code)  Email Address  On Site Manager: None	(Name)  (Name)  (Name)  (City)  (GOZ) 840-6030  Fax No. (Include Area Code)	Preside (T	(Zip)  (Zip)  (Zip)
MAN  Management Contact: 5 & M  P. O. Dax 17357  (Street)  GOZ) SUO-9400  Telephone No. (Include Area Code)  Email Address  On Site Manager: None  (Street)	(Name)  (Name)  (Name)  (City)  (Ab 2) 840-6030  Fax No. (Include Area Code)  (Name)	Preside (T (State)  Pager/Cell No. (1)	(Zip)  (Zip)  (Zip)

Statutany Agants 5 11 1	N Calcir		,
Statutory Agent: Scott 1	(Name)		
P.O. Box 17357	Phochso	Be	8504
(Street)	(City)	(State)	(Zip)
(602) 840 -840 Telephone No. (Include Area Code)	( 602) 840 - 6030 Fax No. (Include Area Code	P (5.11-	In (Implicate According
,	Fax No. (Include Area Code	Pager/Cell I	No. (Include Area Code)
Attorney: Scott W. Gran	(A))		
2 \( \sigma \)	(Name)	۸	
(Street)	Phonix (City)	(State)	(Zip)
(50000)	(3.1)	•	· •/
Telephone No. (Include Area Code)	(602)840-5030 Fax No. (Include Area Code)	Pager/Cell N	o. (Include Area Code)
OW	NERSHIP INFORMATIO	<u>N</u>	
Check the following box that applies to	your company:		
☐ Sole Proprietor (S)	☐ C Corporation (C	) (Other than A	Association/Co-op)
• • • • • • • • • • • • • • • • • • • •	-		
Partnership (P)	Subchapter S Cor	poration (Z)	
Bankruptcy (B)	Association/Co op	) (A)	
Receivership (R)	☐ Limited Liability	Company	
Other (Describe)			
	COUNTIES SERVED		
	COUNTIES SERVED		
	n which was an antifer to 14.	vide comics:	
Check the box below for the county/ies i	n which you are certificated to pro	ovide service:	
Check the box below for the county/ies i	n which you are certificated to pro  COCHISE		OCONINO
		∑ co	OCONINO REENLEE
_ АРАСНЕ	☐ COCHISE	☐ GI	
☐ APACHE ☐ GILA	☐ COCHISE	<ul><li>□ GI</li><li>□ Mo</li></ul>	REENLEE
☐ APACHE ☐ GILA ☐ LA PAZ	<ul><li>☐ COCHISE</li><li>☐ GRAHAM</li><li>☐ MARICOPA</li></ul>	<ul><li>□ GI</li><li>□ Mo</li><li>□ PI</li></ul>	REENLEE OHAVE

## **UTILITY PLANT IN SERVICE**

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization	172		172
302	Franchises			
303	Land and Land Rights	10,000		10,000
304	Structures and Improvements	600	460	140
307	Wells and Springs	11,000	8,429	2,571
311	Pumping Equipment	10,543	5,806	4,737
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	4,000	3,065	935
331	Transmission and Distribution Mains	25,000	19,155	5,845
333	Services	1,944	1,242	702
334	Meters and Meter Installations	600	460	140
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment	<u> </u>		
340	Office Furniture and Equipment	950	168	782
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	64,809	38,785	26,024

This amount goes on the Balance Sheet Acct. No. 108

# COMPANY NAME OAK CREEK UTILITY CORPORATION

## CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct.		Original	Depreciation	Depreciation
No.	DESCRIPTION	Cost (1)	Percentage (2)	Expense (1x2)
301	Organization	172		
302	Franchises			
303	Land and Land Rights	10,000		
304	Structures and Improvements	600	2.50%	15
307	Wells and Springs	11,000	2.50%	275
311	Pumping Equipment	10,543	2.50%	264
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	4,000	2.50%	100
331	Transmission and Distribution Mains	25,000	2.50%	625
333	Services	1,944	2.50%	49
334	Meters and Meter Installations	600	2.50%	15
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment	950	2.50%	24
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	64,809		1,367

This amount goes on Comparative Statement of Income and Expense Acct. No. 403.



To The Board of Directors of The Water Division of Oak Creek Utility Corporation Phoenix, Arizona

We have compiled the balance sheet of The Water Division of Oak Creek Utility Corporation as of December 31, 2000 and 1999, and the related comparative statement of income and expenses for the years then ended included in the accompanying prescribed form in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants.

Our compilation was limited to presenting in the form prescribed by the Arizona Corporation Commission information that is the representation of management. We have not audited or reviewed the financial statements referred to above and, accordingly, do not express an opinion or any other form of assurance on them.

These financial statements are presented in accordance with the requirements of the Arizona Corporation Commission, which differ from generally accepted accounting principles. Accordingly, these financial statements are not designed for those who are not informed about such differences.

All other information contained in the accompanying prescribed form has not been audited, reviewed, or compiled by us and, accordingly, we assume no responsibility for that information.

ULLMANN & COMPANY, P.L.C. Certified Public Accountants

Wilmoum & Company

August 1, 2001

# COMPANY NAME OAK CREEK UTILITY CORPORATION

#### **BALANCE SHEET**

Acct. No.		BEGI	ANCE AT NNING OF	E	ANCE AT ND OF
	ASSETS		YEAR		YEAR
	CURRENT AND ACCRUED ASSETS				
131	Cash	\$	824	\$	617
134	Working Funds				
135	Temporary Cash Investments				
141	Customer Accounts Receivable		992		842
146	Notes/Receivables from Associated Companies				
151	Plant Material and Supplies				
162	Prepayments				
174	Miscellaneous Current and Accrued Assets				
	TOTAL CURRENT AND ACCRUED ASSETS				
		\$	1,816	\$	1,459
	FIXED ASSETS				
101	Utility Plant in Service	\$	64,002	\$	64,809
103	Property Held for Future Use				
105	Construction Work in Progress				
108	Accumulated Depreciation - Utility Plant		37,418		38,785
121	Non-Utility Property				
122	Accumulated Depreciation - Non Utility				
	TOTAL FIXED ASSETS	\$	26,584	\$	26,024
	TOTAL ASSETS	<b>\$</b>	28,400	\$	27,483

NOTE: The Assets on this page should be equal to Total Liabilities and Capital on the following page

# COMPANY NAME OAK CREEK UTILITY CORPORATION

### **BALANCE SHEET (CONTINUED)**

Acct. No.	LIABILITIES	BEC	ANCE AT GINNING FYEAR	E	ANCE AT ND OF YEAR
	CURRENT LIABILITES				
231	Accounts Payable	\$	569	\$	763
232	Notes Payable (Current Portion)				
234	Notes/Accounts Payable to Associated Companies				
235	Customer Deposits		549		614
236	Accrued Taxes		2,004		88
237	Accrued Interest				
241	Miscellaneous Current and Accrued Liabilities		26,585		35,986
	TOTAL CURRENT LIABILITIES	\$	29,707	\$	37,451
	LONG-TERM DEBT (Over 12 Months)				
224	Long-Term Notes and Bonds	\$	-	\$	-
	DEFERRED CREDITS				
251	Unamortized Premium on Debt				
252	Advances in Aid of Construction		1,610		1,610
255	Accumulated Deferred Investment Tax Credits				
271	Contributions in Aid of Construction				
272	Less: Amortization of Contributions				
281	Accumulated Deferred Income Tax				
	TOTAL DEFERRED CREDITS	\$	1,610	\$	1,610
	TOTAL LIABILITIES	\$	31,317	\$	39,061
	CAPITAL ACCOUNTS				
201	Common Stock Issued	\$	1,100	\$	1,100
211	Paid in Capital in Excess of Par Value		1,400		1,400
215	Retained Earnings		(5,417)		(14,078)
218	Proprietary Capital (Sole Props and Partnerships)				
	TOTAL CAPITAL	\$	(2,917)	\$	(11,578)
	TOTAL LIABILITIES AND CAPITAL	\$	28,400	\$	27,483

## COMPARATIVE STATEMENT OF INCOME AND EXPENSE

Acct. No.	OPERATING REVENUES	PRIC	OR YEAR	CURR	ENT YEAR
461	Metered Water Revenue	\$	8,770	\$	9,836
460	Unmetered Water Revenue				
474	Other Water Revenues		550		45
	TOTAL REVENUES	\$	9,320	\$	9,881
<u></u>	OPERATING EXPENSES				
601	Salaries and Wages	\$	6,000		
610	Purchased Water				
615	Purchased Power		232		604
618	Chemicals				
620	Repairs and Maintenance		113		1,050
621	Office Supplies and Expense		202	-	
630	Outside Services		4,663		10,062
635	Water Testing		2,489		2,163
641	Rents		1,503		1,488
650	Transportation Expenses		673		641
657	Insurance - General Liability				
659	Insurance - Health and Life				
666	Regulatory Commission Expense - Rate Case				
675	Miscellaneous Expense		1,024		1,080
403	Depreciation Expense		1,346		1,367
408	Taxes Other Than Income		459		
408.11	Property Taxes		412		430
409	Income Tax				
	TOTAL OPERATING EXPENSES	\$	19,116	\$	18,885
	OTHER INCOME/EXPENSE				
419	Interest and Dividend Income	\$	-	\$	-
421	Non-Utility Income				
426	Miscellaneous Non-Utility Expenses				
427	Interest Expense	-	3		
	TOTAL OTHER INCOME/EXP	\$	(3)	\$	-
	NET INCOME/(LOSS)	\$	(9,799)	\$	(9,004

## SUPPLEMENTAL FINANCIAL DATA Long-Term Debt

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	\$	\$	\$	\$
Amount Outstanding	\$	\$	\$	\$
Date of Maturity				
Interest Rate				
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

Meter Deposit Balance at Test Year End	\$1,610
Meter Deposits Refunded During the Test Year	\$0

## WATER COMPANY PLANT DESCRIPTION

#### **WELLS**

ADWR ID Number	Pump Horsepower	Pump Yield (Gpm)	Casing Size (inches)	Meter Size (inches)
	5 hp	50	8 inch	4500 4
	J			

#### **OTHER WATER SOURCES**

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)
1)m2		None
TO VV=		

# TOTAL GALLONS PUMPED (NOT SOLD) THIS YEAR (thous.) =

BOOSTER PUMPS		FIRE HYDRANTS		
Horsepower Quantity		Quantity Standard Quantity		
Non		Vone		

STORAGE 7	STORAGE TANKS		RE TANKS
Capacity	Quantity	Capacity	Quantity
None		2000	1

## STATISTICAL INFORMATION

Total number of customers	28	
Total number of gallons sold	145h,m	gallons

COMPANY NAME_	OAK CREEK UTILITY CORPORATION	YEAR ENDING 12/31/2000
	PROPERTY TAXES	
Amount of actual prope	erty taxes paid during Calendar Year 2000 was: \$	694
Attach to this annual reproperty tax payments)	eport proof (e.g. property tax bills stamped "paid in fu of any and all property taxes paid during the calenda	all" or copies of cancelled checks for r year.
If no property taxes pair	id, explain why	

COMPANY NAME OAK CREEK UTILITY CORPORATION

**YEAR ENDING 12/31/2000** 

#### **INCOME TAXES**

For this reporting period, provide the following:	
Federal Taxable Income Reported Estimated or Actual Federal Tax Liability	0 (S-CORPORATION)
State Taxable Income Reported Estimated or Actual State Tax Liability	0 (S-CORPORATION)
Amount of Grossed-Up Contributions/Advances:	
Amount of Contributions/Advances Amount of Gross-Up Tax Collected Total Grossed-Up Contributions/Advances	

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

#### **CERTIFICATION**

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.

SIGNATURE

PRINTED NAME

<u>BATE</u>

TITLE

COMPANY NAME_	OAK CREEK UTILITY CORPORATION	<b>YEAR ENDING 12/31/2000</b>

# WATER AND SEWER UTILITIES ONLY

## **PROPERTY TAXES**

Indi	icate the amount of actual property taxes paid during this reporting period (Calendar Year 2000)
\$	694
Atta Che	nch to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled ecks for property tax payments) of any and all property taxes paid during the calendar year.
If n	o property taxes paid, explain reasons below:

#### **VERIFICATION** AND SWORN STATEMENT **Intrastate Revenues Only**

**VERIFICATION** 

STATE OF

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME)	MARICOPA	
NAME (OWNER OR OFFICIAL)	TITLE SCOTT W. GRAY, PRESIDENT	

### DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA COPRORATION COMMISSION

FOR THE YEAR ENDING

DAY MONTH 2000 12

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

#### SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2000 WAS:

TELEPHONE NUMBER

COUNTY NAME

MONTH

Arizona IntraState	e Gross Operating Revenues Only (\$)
\$	10,455

(THE AMOUNT IN BOX ABOVE INCLUDES \$ 574 IN SALES TAXES BILLED, OR COLLECTED

\*\*REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)

SUBSCRIBED AND SWORN TO BEFORE ME A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS Mancopa County DAY OF

ATURE OF NOTARY

MARICUPA

Notary Public State of Arizona

Andrea Nako Expir**és (Adré**t) 12, 2004

MY COMMISSION EXPIRES

MARCH 12. 200

#### VERIFICATION **AND SWORN STATEMENT** RESIDENTIAL REVENUE

VERIFICATION

INTRASTATE REVENUES ONLY

STA	T	$\mathbf{E}$	OF	'A	$\mathbf{R}$	IZ	OI	NA
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**MARICOPA** (COUNTY NAME)

NAME (OWNER OR OFFICIAL)

I. THE UNDERSIGNED

SCOTT W. GRAY

TITLE PRESIDENT

OF THE

COMPANY NAME OAK CREEK UTILITY CORPORATION

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2000

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

#### **SWORN STATEMENT**

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2000 WAS:

ARIZONA INTRAS	TATE GROS	S OPERATING REVENUES
\$	10,455	

(THE AMOUNT IN BOX AT LEFT INCLUDES \$ 574 IN SALES TAXES BILLED, OR COLLECTED

\*RESIDENTIAL REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED.

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

NOTARY PUBLIC NAME

DAY OF Public State of Aribona

20 DI

Marieopa County Vadrea Nako

March 12, 2004

MY COMMISSION EXPIRES MAKE 12, 2004

Oak Creek Atily 2000 Water Document Name: untitled

TX100A (1 OF 6) COCONINO COUNTY TREASURER - TAX MASTER INQUIRY 08/15/2001

STATUS: NORMAL STATUS ACTION: I PARCEL NUMBER: 94890970

INFO-1:

TNEO-2

	YEAR: 0000 R	OLL #: 0	/	F0-2: F0-3:		
YEAR ROLL #	NET TAX T	AX COLLECTED	INTEREST	OTHER	TAX DUE	DATE
2000 63037 1999 61811 1998 60923 1997 59706 1996 58370 1995 57530 1994 56671 1993 56234 1992 55538 NAME: OAK CR SCOTT	0.00 362.06 359.86 340.30 373.24 406.94 362.88 369.22 390.70 REEK UTILITY C	0.00 362.06 359.86 340.30 373.24 406.94 362.88 369.22 390.70	0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00 VALUATION:	0.00 0.00 0.00 0.00 0.00 0.00 BASE LPV LAND FCV IMP FCV PERS PROP	04/13/2000 04/13/1999 04/17/1998 10/29/1996 03/11/1996 05/08/1995 04/18/1994 04/27/1993 0 0 0
ADDR: P 0 BC	X 17357	)		ASSMT%: 25.	TOTAL FCV O EXEMPT:	ő

00000 PHOENIX AZ

SUCCESSFUL INQUIRY

1(003,029)

Date: 8/15/01 Time: 10:56:41 AM